



VIII. Compliance Check Form FY 2021

Retailer: _____

Address: _____

City: _____

State: _____

ZIP: _____

RESULTS (check one): <input type="checkbox"/> Compliant Date Checked: _____ <input type="checkbox"/> Non-Compliant Time Checked: _____ <input type="checkbox"/> Unable to Complete (Not Applicable) Clerk Information: <input type="checkbox"/> Male <input type="checkbox"/> Female (NAME ONLY REQUIRED IF NON-COMPLIANT) First Name: _____ Middle Initial: _____ Last Name: _____ Case #: _____ <input type="checkbox"/> I have issued a criminal citation to the clerk listed above for selling tobacco, alternative nicotine or vapor products to a person under age twenty-one. <i>Iowa Code § 453A.2(1).</i>	If Unable to Complete the Compliance Check (check one) <input type="checkbox"/> Establishment has a VALID PERMIT but Does Not Sell Tobacco, Alternative Nicotine or Vapor Products. - Permit Status Verified by City Clerk or County Auditor <input type="checkbox"/> Establishment No Longer Holds a Valid Tobacco, Alternative Nicotine or Vapor Product Permit <input type="checkbox"/> Establishment is Out of Business <input type="checkbox"/> Establishment is designated as an “Unsatisfactory Condition” - “Unsatisfactory Condition” verified by ABD Investigator - Trooper Conducted a Walk-Through of the Premises <i>(Explanation Required in Comments Section Below)</i> ► If none of the above reasons apply, write reason and explanation on the back of the form—see page 4 for reason list.
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(NOTE: If the compliance check result is Non-Compliant, a citation must be issued before your department may receive payment.)

TROOPER INFORMATION (TROOPER THAT CONDUCTED COMPLIANCE CHECK):

First Name: _____ Middle Initial: _____ Last Name: _____

Badge: _____ District: _____

CONFIDENTIAL INFORMANT (CI):

CI Age: 16 17 18 19 20

CI Gender: Male Female CI Number: (Last 4 digits of CI's ID)

CI Race: Black American Indian/ Alaskan Native Asian/ Pacific Islander White Unknown

CI Ethnicity: Not of Hispanic Origin Hispanic Origin Unknown

RESULTS OF ATTEMPTED PURCHASE:

Attempted Purchase Item: Cigarettes Smokeless Tobacco Other Tobacco Product

Vapor Product Alternative Nicotine Product

Age Requested? YES NO

ID Requested? YES NO

COMMENTS:

Trooper Signature